

Regency Hotel Brussels 17 December 2009

Introduction

The U-Multirank project has a stakeholder focused approach. In designing and testing the feasibility of a global multi-dimensional ranking of higher education and research institutions, its users/stakeholders have a prominent role.

After the first stakeholder meeting in October 2009, a second stakeholder event was organized on 17 December 2009: the stakeholder workshop on the relevance of the U-Multirank indicators.

The objective of the workshop was to get insight in the stakeholders' opinion on the relevance of the indicators selected (as reported in the interim report of December 2009). The workshop was set up as a modified Delphi study. Stakeholders were asked to express and motivate their views on the relevance of indicators in multiple rounds, in order to achieve a more in-depth insight in the views and the underlying motivations as well as a certain level of consensus among the stakeholders regarding the relevance. The first round was organized as an on-line survey among the invited stakeholders. The second, third and fourth round were set up as discussion workgroup sessions in the workshop and the final round was the survey that was administered at the end of the workshop.

Pre-workshop survey

The pre-workshop survey was sent to all stakeholders on the list of stakeholders (for a list see appendix 1). It comprised most of the indicators listed in the interim progress report of November 2009 (the final version of this report will be made available on the website in February 2010). For all indicators the question was asked how relevant the indicator is for the respondent in ranking a higher education or research institution cq a field at a specific higher education or research institution, using a five point scale.

98 invited stakeholders completed the on-line questionnaire (see appendix 2).

The results of this first round (for a detailed overview see appendix 3) show that the selection of indicators is, in general seen as relevant; for none of the indicators the average score indicated low relevance. However, within that range of relevance, there were some differences between the indicators by dimension and type of ranking (focused institutional ranking and field based ranking).

In the 'teaching'-dimension, the relative graduate earnings were considered to be the least relevant. Relative graduate unemployment was seen as more relevant. In the FIR the relevance of graduation rate and relative graduate unemployment rate were at the same level, whereas in the field based ranking assessment, graduation rate was seen slightly more relevant. The scores for the FBR were on average slightly higher than for FIR and within FBR, engineering scored higher than business. This general pattern emerged for all five dimensions.

In the 'research'-dimension, the 'within-country joint research publications' were clearly seen as the least relevant indicator, whereas 'research publication output', and 'external research income' were the more relevant indicators.

In the 'knowledge transfer'-dimension, the traditional commercialization indicators (lie licensing income, patents and spin-offs) were considered to be of less relevance in the FBR. 'External research contracts' was seen as most relevant.

'International academic staff', 'number of international students' and 'internationalisation of programmes' were the most relevant indicators in the dimension 'Internationalisation'. In the dimension 'Regional engagement' 'student internships in local enterprises', and 'research contracts with regional business' were seen as most relevant.

The workshop

The workshop was set up as a one day event. After an introduction to the objective of the workshop and an instruction regarding the procedures to be followed during the day, the participants were sent of in five working groups, each group being organized around one of the five dimensions of U-Multirank.

The task the participants were asked to perform was to select indicators on their relevance: 'is this indicator relevant or not?'.

Based on the results of the pre-workshop survey a selection was made of indicators that were relevant (IN) not relevant (OUT) and undetermined (?). In the first working group session the participants were asked to move the indicators from the '?'-category to either the IN-category or the OUT-category. Indicators in the In- and Out category could be moved as well. All decisions regarding moving indicators from one category to another had to be motivated. A group moderator kept track of the changes and the motivations. After the first group sessions reconvened in the plenary room to discuss the changes made in an informal market-style set up. Participants then changed to another group to discuss the list of indicators in the IN- and OUT category. Indicators could be moved to the other category, preferably with consensus of the group, but always with a clear motivation. After the second round, a plenary market style discussion followed. For the third session, participants changed groups again and the process of discussion started once again. In the final plenary session the moderators presented the results of the group sessions, as well as the main motivations used. Participants were given the opportunity to dissent with the final result of the working groups.

On their departure, around 30 participants completed the original questionnaire once again (for the results see appendix 4).

The workshop was a success as it actively involved a large number of stakeholders in the discussion on the relevance of the indicators presented. New indicators were proposed

and intense discussions were held to convince other group members of the (ir)relevance of specific indicators. Participants were vary active and appreciated the format developed for the workshop.

There was a general consensus on the list of indicators voted IN and OUT, although there was also a significant number of participants who expressed their dissent on specific indicators. For an overview of the indicators in the categories IN and OUT and the comments made see appendix 5.



U-Multirank Stakeholder workshop on the relevance of

Appendix 1: List of Participants

Institution	Nam	е	
Association of Universities of Applied Sciences, FHK	J.		Häfke- Schönthaler
Bologna Follow Up Group (BFUG)	M.		Leegwater
Business Europe	H.		Dettleff
CHE	G.		Federkeil
CHE	F.		Ziegele
CHEPS	M.		Beerkens
CHEPS	F.		Kaiser
CHEPS	F.	van	Vught
COIMBRA	G.		Langouche
Compostela Group of Universities (CGU)	В.		Iglesias Seoane
Compostela Group of Universities (CGU)	J-P.		Roose
Conference of Schools for Advanced Engineering Education and Research (CESAER)	L.		Coninx
Conference of Schools for Advanced Engineering Education and Research (CESAER)	M.		Horvat
Conference of Schools for Advanced Engineering Education and Research (CESAER)	J.		Sjöberg
CRUS	A.		Pacton
CWTS	R.		Tijssen
EC/EAC	R.		Deiss
EC/EAC	R.	van	ljperen

ENIC/NARIC	K.		Guillaume
EUPRIO, King's College	C.		Coe
European Centre for Strategic Management of Universities (ESMU)	N.		Burquel
European League of Institutes of the Arts - ELIA	U.		Dalnäs
European League of Institutes of the Arts - ELIA	T.		Ophuijsen
European Network for Universities of Applied Sciences (UASNET)	T.		Wanker
European Students Union (ESU)	Α.		Pall
European University Association	M.		Koops
European University Association	H.		Newby
Flemish Interuniversity Council	S.		Van Lommel
INCENTIM	J.		Callaert
International Association of Universities (IAU)	M.		McGinn
International Association of Universities (IAU)	I.		Turmaine
LERU	J.	van	Asten
Ministry of STI, Denmark	M. J.		Jansen
Network of Universities from the Capitals of Europe (UNICA)	M.		Arménia Carrondo
Network of Universities from the Capitals of Europe (UNICA)	K.		Dejonckheere
Nordic Council of Ministers	C.		Möller
Nordic Council of Ministers	H.		Otte
OST	G.		Filiatreau
OST	P.		Vidal
Rectors' Conference - Czech Republic (CRC)	V.		Stastna
Rectors' Conference - Estonia	T.		Vihand
Rectors' Conference - Germany (HRK)	R.		Peter
Rectors Conference - Iceland (NRCI)	В.		Zarioh

Rectors' Conference - Italy (CRUI)	M.	Carfagna
Rectors' Conference - Lithuania	K.	Krisčiūnas
Rectors' Conference - Norway (UHR)	G.	Bakken
Rectors' Conference - Slovakia (SRC)	M.	Finka
Rectors' Conference - University Colleges Denmark	M.	Thorsen
Rectors' Conference for Danish University Colleges	P.	Aalykke
Rectors' Conference, French Community of Belgium (CREF)	E.	Boxus
Rectors' Conference, French Community of Belgium (CREF)	F.	Michel
Technical University of Catalonia	M.	Juste Ezquerra
The European Association of Conservatoires (AEC)	L.	Messas
The European Association of Conservatoires (AEC)	M.	Prchal
University of Luxemburg	Α.	Koenig
Utrecht Network	F.	Salve



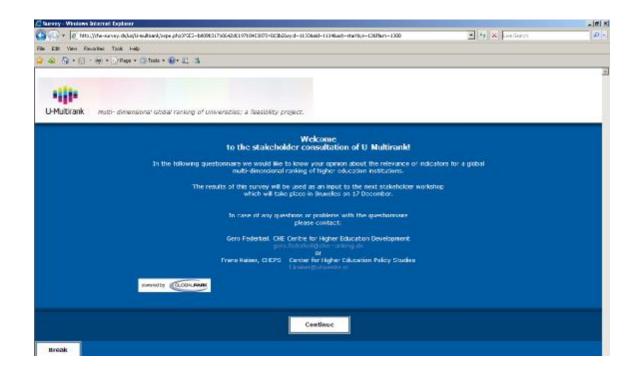
Appendix 2: Pre workshop survey

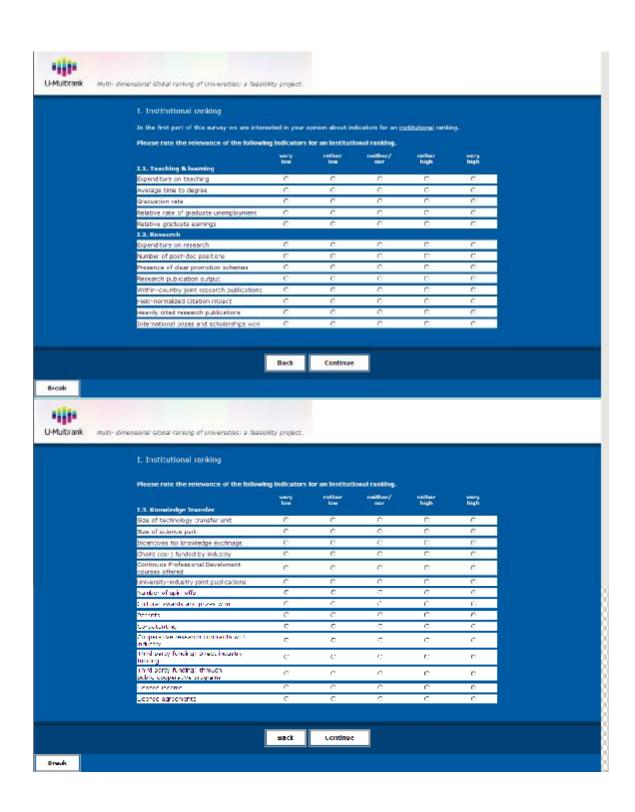
The pre-workshop survey was sent out to over 100 persons/ organization who are on the list of stakeholders (see appendix 1)

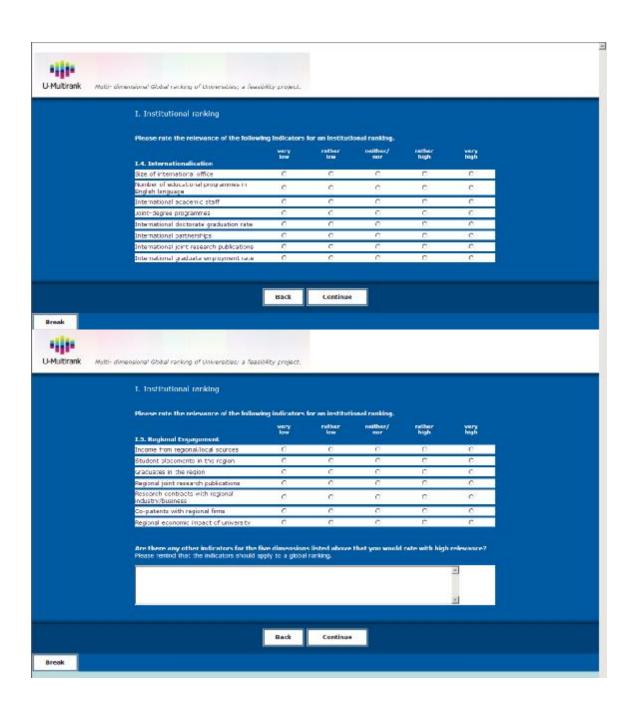
FIR = Focused Institutional Ranking

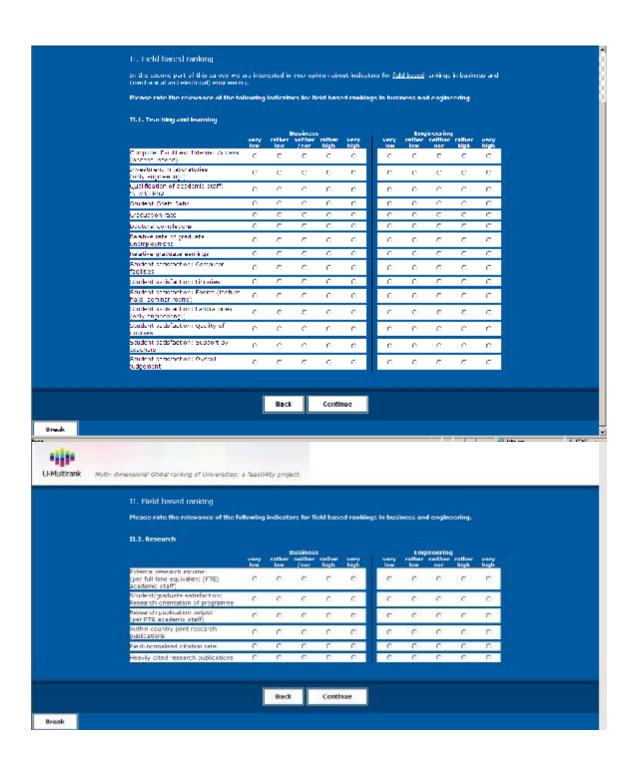
FBR = Field Based Ranking

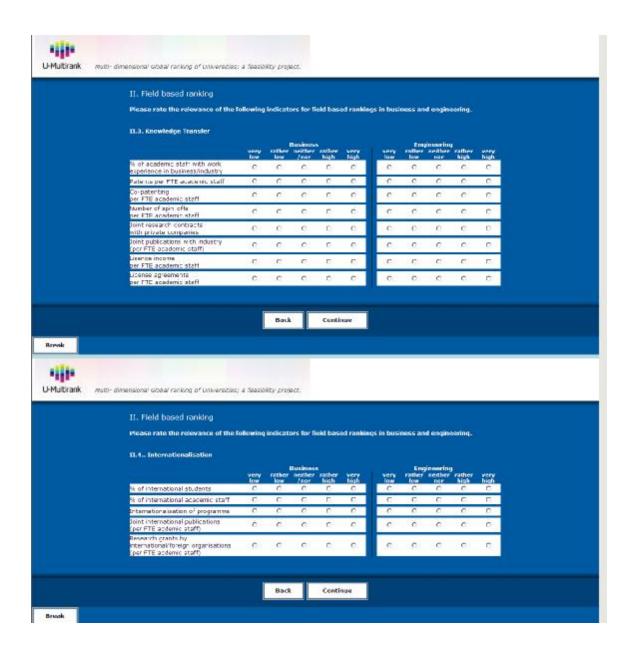
FBRe = Field Based Ranking engineering FBRb = Field Based Ranking business

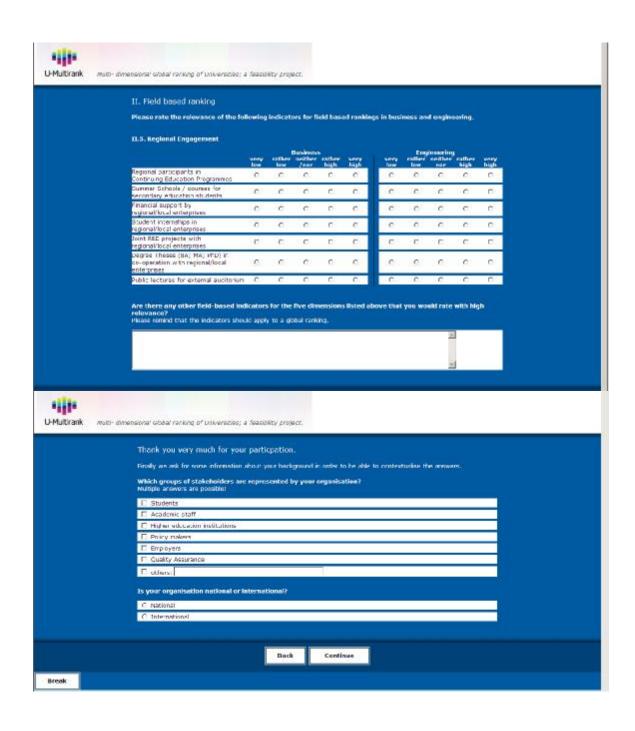














Appendix 3: Pre workshop survey results

In this short note the results of the online questionnaire regarding the relevance of the U-Multirank indicators are summarized.

These results served as an input for the first working group session at the Stakeholder workshop.

For each indicator the average score is presented, as well as a bandwidth of 1 standard deviation, showing the dispersion around the average. If the bandwidth is small, consensus is high and if the bandwidth is large, consensus is low.

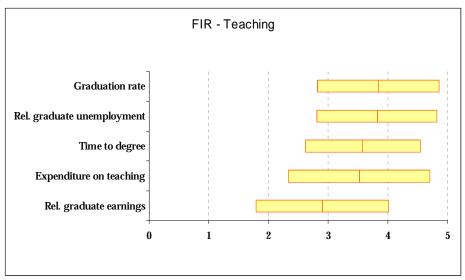
The number of respondents was 63.

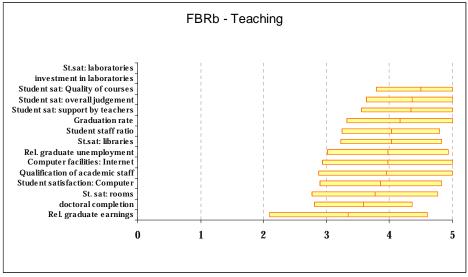
The indicators are presented by dimension and, where appropriate, by type of ranking.

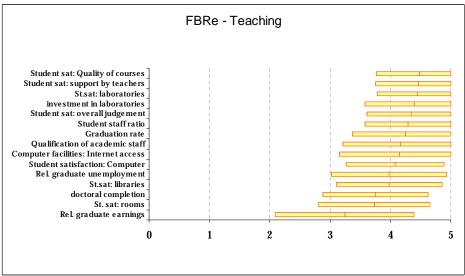
FIR = Focused Institutional Ranking

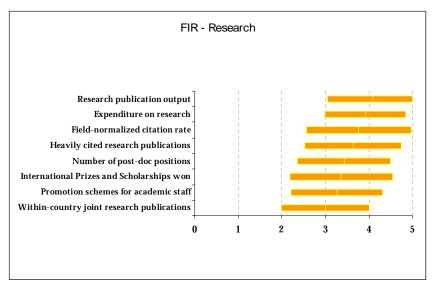
FBR = Field Based Ranking

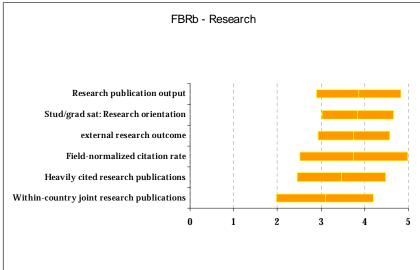
FBRe = Field Based Ranking engineering FBRb = Field Based Ranking business

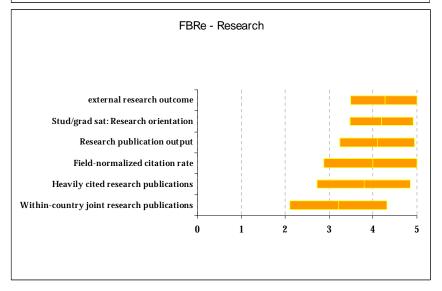


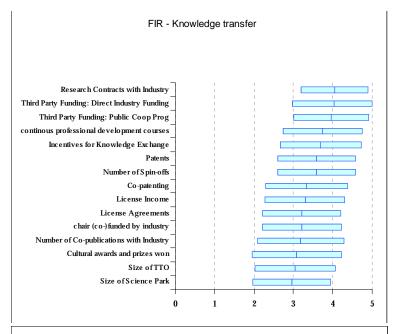


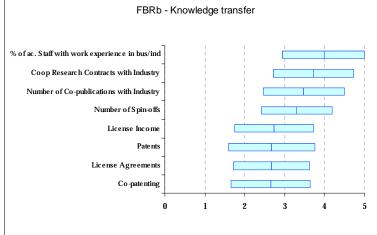


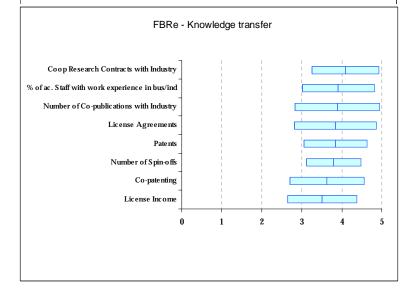


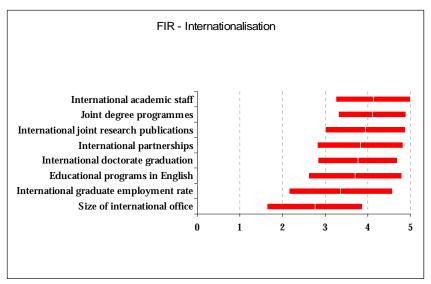


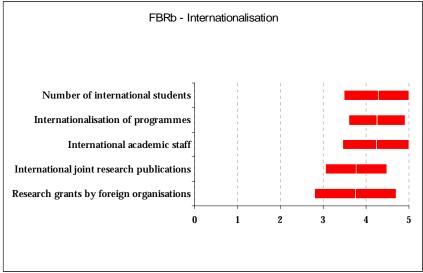


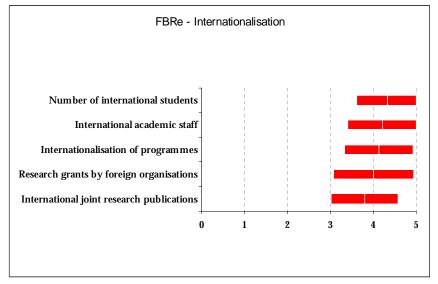


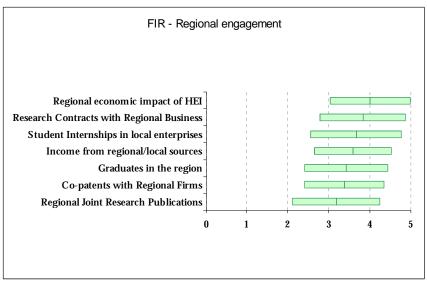


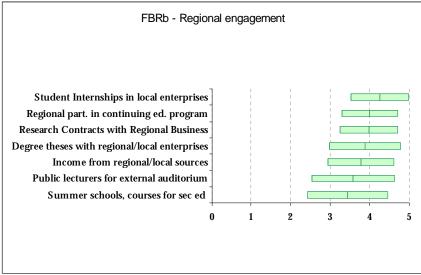


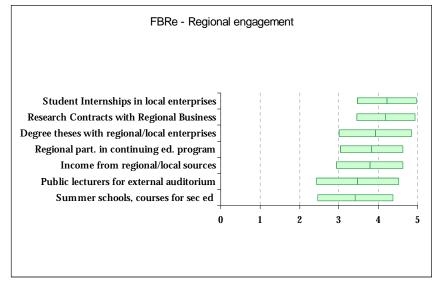














Appendix 4: Post workshop survey results

In this short note the results of the survey at the end of the workshop are summarized.

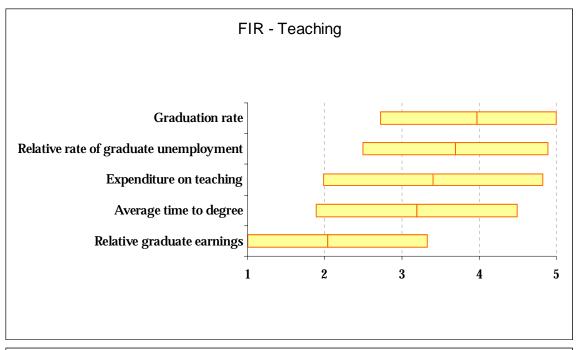
For each indicator the average score is presented, as well as a bandwidth of 1 standard deviation, showing the dispersion around the average. If the bandwidth is small, consensus is high and if the bandwidth is large, consensus is low.

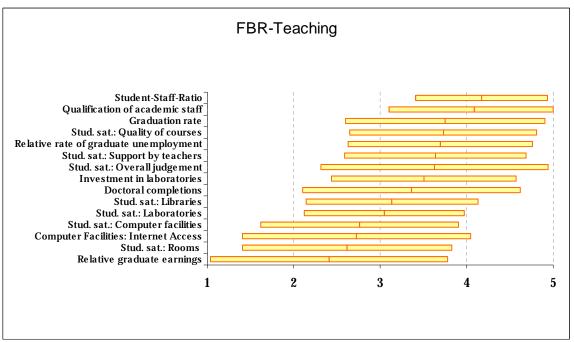
The number of respondents was 30.

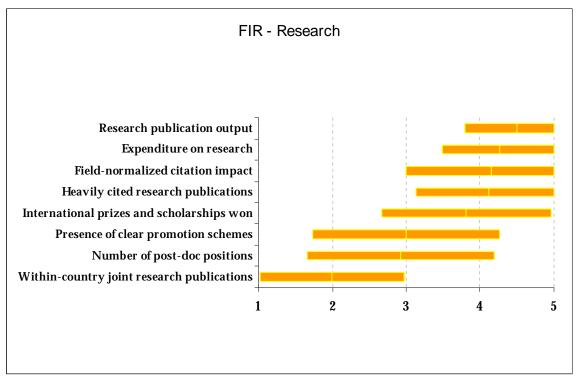
The indicators are presented by dimension and by type of ranking.

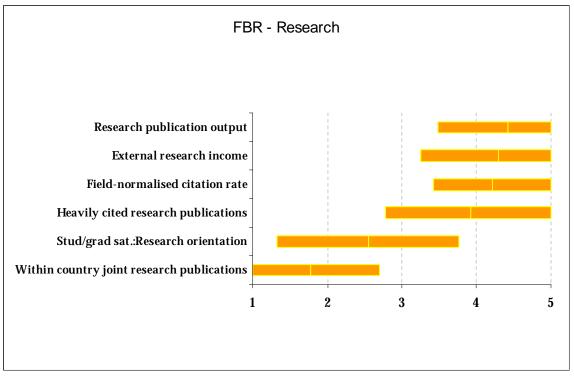
FIR = Focused Institutional Ranking

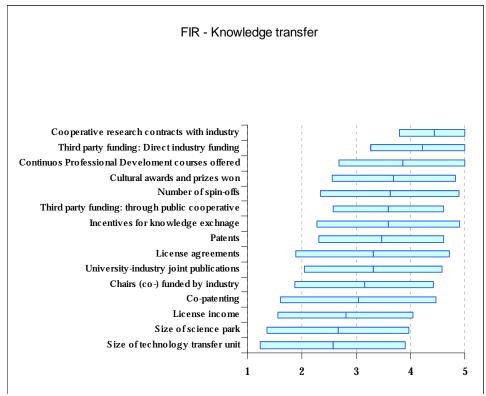
FBR = Field Based Ranking

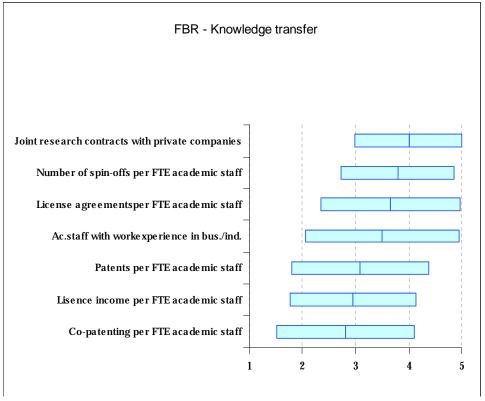


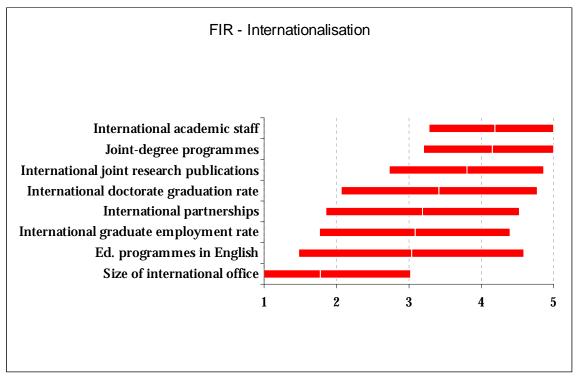


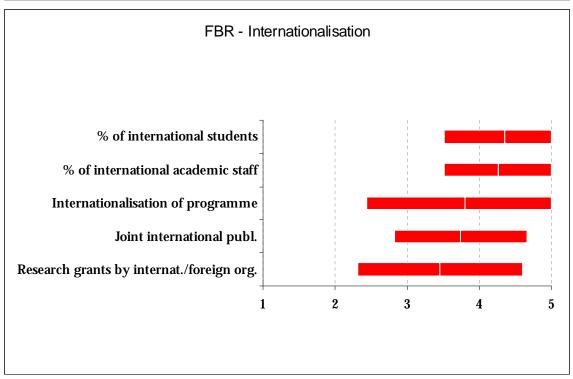


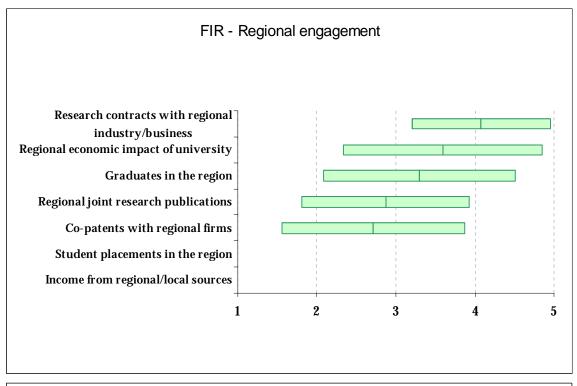


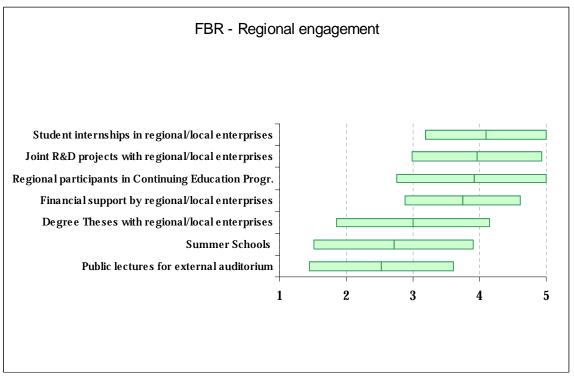














Appendix 5: Overview of U-Multirank indicators, voted 'in' and 'out'

in	out
international orientation	
Field Based Ranking	
 International doctorate graduation rate 	• International research grants
• International academic staff	Percentage of international students
 Incoming and outgoing students 	 Student satisfaction: Opportunities for a stay abroad
 Joint international publications 	v
• Internationalisation of programmes	
• Joint international projects	
Focused Institutional Ranking	
 Number of educational programmes in foreign language 	 International partnerships
 International teaching and research staff 	 Number of educational programmes in English
 International joint research publications 	International doctorate graduation rate
Joint degree programmes	Size of international office
 Incoming and outgoing students 	 International graduate employment rate
	 Foreign degree seeking students
Lucarrillo de la caraba su su a	
knowledge exchange	
Field Based Business	
Co-publications with industry	Co-patenting
Field Based Ranking	
Licence agreements	• Patents

in	out
 Number of spin-offs Academic staff with experience in industry Joint research contracts with private sector 	Licence income
Focused Institutional Ranking	
 Incentives for knowledge exchange Cooperative research contracts with industry Size of technology transfer unit Continous professional development courses Third party cooperative funding (public and direct industry) University-industry joint publications Cultural awards and prizes won 	 Third party funds: public cooperation programmes Licence income Patent applications filed Spin-offs Chairs (co-)funded by industry Co-patenting Licence agreements Size of science park Third party funds: direct industry funding
regional engagement	
regional engagement	
Field Based Ranking	
Field Based Ranking • Financial support by regional enterprises • Regional participants in continuing education programmes • Joint R&D projects with regional/local enterprises • Student internships in regional enterprises • Regional spin-offs	 Degree theses in co-operation with regional enterprises Public lectures for external auditotium Summerschools/ courses for secondary education students
 Field Based Ranking Financial support by regional enterprises Regional participants in continuing education programmes Joint R&D projects with regional/local enterprises Student internships in regional enterprises 	 regional enterprises Public lectures for external auditotium Summerschools/ courses for secondary
Field Based Ranking • Financial support by regional enterprises • Regional participants in continuing education programmes • Joint R&D projects with regional/local enterprises • Student internships in regional enterprises • Regional spin-offs • Percentage of regional enrolment	 regional enterprises Public lectures for external auditotium Summerschools/ courses for secondary
Field Based Ranking • Financial support by regional enterprises • Regional participants in continuing education programmes • Joint R&D projects with regional/local enterprises • Student internships in regional enterprises • Regional spin-offs • Percentage of regional enrolment Focused Institutional Ranking • Income from regional sources • Community engagement • Research contracts with regional business • Graduates working in the region	 regional enterprises Public lectures for external auditotium Summerschools/ courses for secondary education students Co-patents with regional firms Regional joint research publications
Field Based Ranking • Financial support by regional enterprises • Regional participants in continuing education programmes • Joint R&D projects with regional/local enterprises • Student internships in regional enterprises • Regional spin-offs • Percentage of regional enrolment Focused Institutional Ranking • Income from regional sources • Community engagement • Research contracts with regional business • Graduates working in the region • Regional impact of university	regional enterprises Public lectures for external auditotium Summerschools/ courses for secondary education students Co-patents with regional firms Regional joint research publications

in	out
 External research income Heavily cited research publications Post-doc positions 	 publication Student satisfaction: research orientation of educational programme
Field-normalised citation rate Figure 1 Park in the first section of the first section	
 Focused Institutional Ranking International prizes and scholarships won 	Presence of clear promotion schemes
Heavily cited research publications	 Within-country joint research publications
 Field normalized citation impact Research income Research output Research related HRM development Interdisciplinary research activities Art related outputs Expenditure on research Research income from competitive sources 	Research publication output
teaching and learning	
Field Based Business	
Student satisfaction: libraries	Computer facilities: internet accessDoctoral completions
Field Based Ranking	
Investment in laboratories	 Graduate satisfaction: Labour market relevance of their qualifications
Interdisciplinarity of programmes	 Inclusion of issues relevant for employability in the programme/curricula
 student satisfaction: computer facilities 	 Inclusion of work experience into programmes
• student satisfaction: laboratories	 Student/graduate satisfaction: inclusion of work experience in the programme
 Student satisfaction: support by teachers 	• Student satisfaction: rooms
 Student satisfaction: quality of courses 	Relative graduate earnings
 Student-staff ratio Student satisfaction: overall judgement Graduation rate Relative rate of graduate unemployment 	Qualification of academic staff

in out

 Percentage of academic staff with workexperience in business and industry

Focused Institutional Ranking

- Interdisciplinarity of programmes
- Relative rate of graduate unemployment
- Graduation rate

- Expenditure on teaching
- Relative graduate earnings
- Average time to degree